Trait coordination, mechanical behaviour and growth form plasticity of *Amborella trichopoda* under variation in canopy openness

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Abstract. Understanding the distribution of traits across the angiosperm phylogeny helps map the nested hierarchy of features that characterize key nodes. Finding that *Amborella* is sister to the rest of the angiosperms has raised the question of whether it shares certain key functional trait characteristics, and plastic responses apparently widespread within the angiosperms at large. With this in mind, we test the hypothesis that local canopy openness induces plastic responses. We used this variation in morphological and functional traits to estimate the pervasiveness of trait scaling and leaf and stem economics. We studied the architecture of *Amborella* and how it varies under different degrees of canopy openness. We analyzed the coordination of 12 leaf and stem structural and functional traits, and the association of this covariation with differing morphologies. The *Amborella* habit is made up of a series of sympodial modules that vary in size and branching pattern under different canopy openness. *Amborella* stems vary from self-supporting to semi-scandent. Changes in stem elongation and leaf size in *Amborella* produce distinct morphologies under different light environments. Correlations were found between most leaf and stem functional traits. Stem tissue rigidity decreased with increasing canopy openness. Despite substantial modulation of leaf size and leaf mass per area by light availability, branches in different light environments had similar leaf area-stem size scaling. The sympodial growth observed in *Amborella* could point to an angiosperm synapomorphy. Our study provides evidence of intraspecific coordination between leaf and stem economic spectra. Trait variation along these spectra is likely adaptive under different light environments and is consistent with these plastic responses having been present in the angiosperm common ancestor.

Keywords: Adaptation; allometry; biomechanics; leaf mass per area; light environment; modulus of elasticity; phenotypic plasticity; plant architecture.

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Introduction

Comparative biology is built on an understanding of the patterns of distribution of organismal characters. Those that uniquely characterize single clades are known as synapomorphies. The nested hierarchy of synapomorphies across the tree of life helps to reconstruct the patterns of relationships between taxa (Nixon and Wheeler 1990). In contrast, characters that arise repeatedly can reflect an array of processes from convergent evolution to shared propensities for evolving similar traits independently, reflecting convergence or parallelism (Harvey and Pagel 1991; Losos 2011; Scotland 2011). To understand the distribution of traits within a group, it is crucial to study as wide an array of lineages as possible. Among the flowering plants, *Amborella trichopoda* (Amborellaceae), a dioecious woody plant endemic to the moist forests of New Caledonia, has attracted the attention of plant science since the end of the last century, after several phylogenetic studies supported the position of *Amborella* as the single surviving representative of a lineage sister to all other extant angiosperms (Mathews and Donoghue 1999; Soltis et al. 1999; Mathews and Donoghue 2000; Qiu et al. 2000; Soltis et al. 2000; Amborella Genome Project 2013; Poncet et al. 2013).

Because of its phylogenetic position, the analysis of *Amborella* traits is of interest in understanding the evolution of the ecology, function and structure of flowering plants (Amborella Genome Project 2013). Finding that there are features shared by *Amborella* and other flowering plants, but not the gymnosperms, could point to angiosperm synapomorphies or important convergent features. On the other hand, finding that there are features shared by *Amborella* and the gymnosperms but not the rest of the angiosperms could reveal useful information regarding the early sequence of character evolution within the flowering plants. This study focuses on patterns, potentially synapomorphic and homoplasious, currently being documented all across the woody plants. Through the description of *Amborella*‘s architectural and biomechanical organization, combined with analyses of the coordination of functional leaf and stem traits and their variation under different light environments, we can provide elements for understanding the evolution of growth forms in the flowering plants and how these forms vary developmentally under different light conditions.

One of the longstanding questions in the study of angiosperm structure concerns the habit and growth form of the earliest flowering plants. *Amborella* has a multi-stemmed habit with stems that have been described as semiscandent (Feild et al. 2001; Feild and Wilson 2012). This growth habit is often called ‘cane-like’ and seems to be widespread in the ‘basal’ lineages of angiosperms (e.g. Aristolochia, Eupomatia, Illicium, Piper, Sarcandra, Thottea and Trimenia) (Carlquist 1996; 2001; Feild and Arens 2005; Carlquist 2009; Isnard et al. 2012), pointing to a potential angiosperm synapomorphy. The cane-like habit seems to be characterized by a combination of sympodial growth and mechanical laxness, with stems that are relatively long for their tissue stiffnesses (Feild and Arens 2007; Carlquist 2009). The sympodiality and laxity observed in the stems of these cane-like shrubs can be directly assessed by the analysis of their architectural and mechanical properties. Stem mechanical properties can be used to characterize different growth forms based on the observation of mechanical shifts in structural Young’s modulus (E) and flexural rigidity (EI) during development (Rowe and Speck 2005; Lahaye et al. 2005). *Amborella* differs from most of the other ‘basal’ cane-like angiosperm representatives in that it has a vesselless wood, containing only tracheids as water-conducting cells (Feild et al. 2000). It has been argued that vessel evolution represents a major leap in angiosperm diversification by increasing developmental options for hydraulic, mechanical and storage functions (Sperry et al. 2007; Isnard and Feild 2015). Therefore, the analysis of the stem mechanical properties of *Amborella* can be potentially important in understanding the mechanical organization behind the scandent habit in vesselless plants.

Independent of the multiple forms expressed by plants, several leading dimensions of trait covariation have been documented (Ackerly and Donoghue 1998; Enquist 2002; Niklas and Enquist 2002; Westoby et al. 2002; Wright et al. 2004; Olson et al. 2009; Diaz et al. 2016). These apparently highly homoplasious patterns of trait variation appear to span most flowering plant lineages given that they are observed across species and across habitats. One of the best documented of these relationships is the ‘leaf size-twig size’ spectrum (Ackerly and Donoghue 1998; Cornelissen 1999; Westoby et al. 2002; Westoby and Wright 2003; Sun et al. 2006; Wright et al. 2007; Olson et al. 2009), which includes ‘Corner’s Rules’ (Corner 1949). The leaf size-twig size spectrum includes the tendency for plants with large leaves to have predictably thick twigs made up of tissues with low specific density (Wright et al. 2006; Swenson and Enquist 2008; Olson et al. 2009). Finding whether *Amborella* fits into these global patterns helps understand how widespread these patterns are across the angiosperms. Although these relationships are predictable, the absolute values of functional traits can vary across species, generating different ecological strategies. Within the context of these strategies, phenotypic plasticity involves modification of developmental trajectories in response
to environmental cues (Sultan 2000; Chambel et al. 2005; Pigliucci et al. 2006; Fusco and Minelli 2010).

Among the environmental variables that influence plant phenotypic plasticity, light availability is one of the most heterogeneous (Valladares and Niinemets 2008). Light incidence has a very well documented influence on leaf structure. For instance, light tends to have a negative effect on leaf size (Poorter 1999; Rozendaal et al. 2006) and a positive effect on specific mass (Abrams and Kurbiske 1990). Other changes induced by light availability include mass allocation (Poorter et al. 2012) and overall plant architecture (Kawamura and Takeda 2002; Charles-Dominique et al. 2010; 2012). Given that selection seems to favour thicker twigs as leaf size increases, and because light has a documented effect on leaf size, then we can expect that light indirectly influences stem size. Hence, plants should be able to respond plastically to differing light environments along a given stem-leaf scaling slope, moving to different degrees along the leaf size-twig size spectrum. Along with the conspicuous effect of light variability on foliar traits, different light regimes can also induce changes in stem structure. It has been shown that shade conditions induce the elongation of internodes and petioles as part of a common shade avoidance response (Schmitt et al. 2003; Huber et al. 2014). Shade-induced elongation seems to be coupled with other structural changes. For instance, it has been suggested that plants growing under shaded conditions tend to have a higher modulus of elasticity (MOE), producing more rigid stem tissues (Gallenmüller et al. 2004; Anten et al. 2005; Watari et al. 2014; Huber et al. 2014).

A previous study has shown that Amborella individuals growing in different light environments exhibit variations in leaf thickness and orientation (Feild et al. 2001). Nevertheless, Feild et al. (2001) reported an absence of variation in leaf area (LA)-specific hydraulic conductivity and in photosynthetic light use, concluding that Amborella has limited developmental flexibility in response to light flux density variation. However, no study to date has examined possible plastic responses of Amborella in architectural and mechanical organizations, as well as in ecologically informative functional traits such as leaf mass per area (LMA), leaf dry matter content (LDMC), stem specific density (SSD), and stem water content (SWC). Analyzing the influence of light on functional traits, and the coordination between these traits, can help us to understand to what degree Amborella is able to respond to light variability. To the extent that such functional variation in Amborella fits into the currently known spectra of variation across the flowering plants, then this would increase our confidence that the potential for plastic variation along these axes was present in the angiosperm ancestor.

The current study addressed the overall architecture and mechanical behaviour along with 12 leaf and stem functional traits of A. trichopoda. Sampling was carried out on individuals growing under different canopy opennesses to assess the effects of light variability on functional trait and morphological plasticity. We used our observations on Amborella to estimate the pervasiveness of leaf and stem economics and trait scaling, which seem to be widespread across the angiosperms. Finally, we discuss our results in the context of angiosperm growth form evolution.

Methods

Plant material, study sites and sampling
A. trichopoda is a woody evergreen shrub 6- to 9-m tall, which grows in the understory of the rainforest of the central mountain range of New Caledonia on acidic substrates at 100- to 900-m elevation (Jérémie, 1982). Amborella is dioecious with small (3–5 mm) flowers that are wind/insect pollinated, and it grows in small, male-biased populations with measured densities of 433 individuals per ha (Thien et al., 2003). Our architectural observations were carried out on individuals from a population in the natural reserve of Mount Aoupinie in the Northern province of New Caledonia. Mt. Aoupinie has one of the populations of Amborella with the highest levels of genetic diversity (Poncet et al. 2013). A forestry road runs east to west along the ridge of Mt. Aoupinie, and the associated clearing has exposed several individuals to a considerable increase in light conditions compared to nearby forest populations. Seedlings and young individuals growing in the greenhouses of the Institut Agronomique Néo-Calédonien (IAC) at Saint Louis, Mont Dore, New Caledonia, were also used for architectural observations.

To evaluate stem and leaf economics within Amborella, we measured nine stem and three leaf structural and functional variables (Table 1). Outer canopy branches were collected along a gradient from sun-exposed roadside individuals to individuals growing in the shaded forest understory. We sampled 24 peripheral branches bearing all of their distal leaves for allometric analysis and for stem and leaf trait measurements. We selected branches bearing fully expanded leaves, avoiding leaves with pathogens or herbivore damage. Additional segments were collected for mechanical and stem trait analyses. To avoid desiccation, sampled stems were collected predawn. Stems were immediately defoliated and wrapped in moist paper towels, sealed in plastic bags and stored in the dark at 7 °C for transport.
Table 1. Structural and functional stem and leaf traits measured.

<table>
<thead>
<tr>
<th>Trait</th>
<th>Abbreviation</th>
<th>Units</th>
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<tbody>
<tr>
<td>Stem length</td>
<td>SL</td>
<td>cm</td>
</tr>
<tr>
<td>Internode length</td>
<td>IL</td>
<td>cm</td>
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<tr>
<td>Internode diameter</td>
<td>ID</td>
<td>cm</td>
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<tr>
<td>Length-diameter ratio</td>
<td>LDR</td>
<td>–</td>
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<tr>
<td>Number of leaves</td>
<td>NL</td>
<td>–</td>
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<tr>
<td>Leaf area</td>
<td>LA</td>
<td>cm²</td>
</tr>
<tr>
<td>Leaf mass per area</td>
<td>LMA</td>
<td>g m⁻²</td>
</tr>
<tr>
<td>Leaf dry matter content</td>
<td>LDMC</td>
<td>mg g⁻¹</td>
</tr>
<tr>
<td>Stem specific density</td>
<td>SSD</td>
<td>g cm⁻³</td>
</tr>
<tr>
<td>Stem water content</td>
<td>SWC</td>
<td>%</td>
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<tr>
<td>Modulus of elasticity</td>
<td>MOE</td>
<td>N mm⁻²</td>
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<tr>
<td>Modulus of rupture</td>
<td>MOR</td>
<td>N mm⁻²</td>
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Measurement of canopy openness
Canopy openness (CO, in %), which represents the percentage of open sky at a given point, is a useful index of the light environment experienced by a given plant (Jennings et al. 1999). Canopy openness was measured to assess the effect of light availability on the structural and functional properties of Amborella. We used hemispherical photographs to characterize local CO at each sampled branch. Before collecting each branch, three photographs were taken above the basal, medial and apical branch section using a 180° hemispherical lens (Samyang fisheye 8 mm f/3.5, Samyang, South Korea) mounted on a Canon EOS 7D camera body (Canon, Japan). The reported CO for a given branch is the average of the three photographs. Photographs were taken between 11 and 13 h, preferentially on cloudy days. The resulting images were analyzed using gap light analyzer software (Frazer et al. 1999).

Architectural analysis
Plant axes were described morphologically and illustrated following the criteria of Barthélémy and Caraglio (2007) and Charles-Dominique et al. (2010, 2015). The architectural description focused mainly on above-ground structure. Axes were categorized in terms of (i) growth process (whether axes have an indeterminate (monopodial) or a determinate (sympodial) growth pattern), (ii) growth direction (whether axes have an erect (orthotropic) or an horizontal (plagiotropic) general orientation), (iii) branching pattern (whether branches elongate immediately after bud initiation or originate from dormant buds with a delayed extension), (iv) branch position (whether branches are located at a basal (basitonic), medial (mesotonic) or distal (acrotonic) position on the parent axis) and (v) symmetry (whether the leaves and branches are disposed radially or bilaterally). Below, we use the term ‘module’ (sensu Charles-Dominique et al. 2015) to denote a structural unit repeated over time and made up of a single dominant axis and its lateral subordinates axes. Our architectural analysis was based on in situ observations of individuals at different growth stages, defined a priori on the basis of morphological criteria (Charles-Dominique et al. 2010). Some of these criteria included branching and accumulation of relays. ‘Relay’ is used here to denote axes that originate from dormant buds and that grow into new sets of modules. Relays accumulate over time, providing a basis for classifying individuals into different stages (Charles-Dominique et al. 2010). Regardless of the environment in which they are growing, individuals from older stages have more relays than those of earlier stages. Age of individuals was estimated by comparison with field and greenhouse individuals of known age. Through architectural and morphological descriptors, we described differences between mature individuals growing in various light environments.

Leaf traits and branch dimensions
We measured LA, LMA and LDMC of all the leaves, petioles included, borne by the 24 branches sampled. This sampling allowed us to determine the total LA for each branch. Leaves were scanned in the field using a portable scanner (CanoScan LIDE 25, Canon, Japan), and fresh mass was immediately measured using an analytical balance. Leaf area was calculated from the scanned images using ImageJ 1.47v. (NIH Image, Bethesda, MD, USA). Leaves were then oven dried at 70 °C for 72 h, for LMA and LDMC calculations. Leaf mass per area was calculated as the ratio of leaf dry mass to LA; LDMC was calculated as leaf dry mass over leaf fresh mass (Pérez-Harguindeguy et al. 2013). Branch measurements included number of leaves, total stem length (SL), internode length (IL), internode diameter (ID), and the ratio of SL to stem diameter, which was calculated as SL over ID of the basal-most internode. Internode length and ID measurements were made at each internode of the sampled branches.

Stem mechanics
We measured MOE, also known as Young’s modulus, along with modulus of rupture (MOR), and flexural rigidity (EI) of stem segments from the same branches sampled for the measurements of leaf traits. To cover the widest possible range of stem thickness given our
testing apparatus, we sampled additional stems of wider diameters, which were included in a separate dataset. We measured a total of 100 stem segments with diameters ranging from 1.97 to 22 mm. Segments were tested in three-point bending with an Instron InSpec 2200 test machine fitted with 10-, 125- or 500-kN load cells (Instron Corporation, Norwood, MA, USA). Stem segments had length: diameter ratios of 20:1 to avoid shear (Lahaye et al. 2005; Méndez-Alonzo et al. 2012). The diameter of the tested segments was calculated as the average of the basal, midpoint and apical diameters measured at two perpendicular points with a digital caliper. The axial second moment of area ($I_m$) is calculated assuming that the stem cross section is approximated as a solid ellipse by Equation (1):

$$I_m = \left(\frac{\pi}{4}\right) \cdot (r_1^2 \cdot r_2)$$  \hspace{1cm} (1)

where $r_1$ is the radius of the stem in the direction of the applied force and $r_2$ is the radius in the perpendicular direction. Stem flexural rigidity ($EI$) represents the resistance of a beam to bending forces in terms of size, geometry, and material properties. It was calculated using Equation (2):

$$EI = \frac{L^3}{48m}$$  \hspace{1cm} (2)

where $L$ is the distance between the supports of the testing apparatus and $m$ is the slope of the initial elastic portion of the deflection vs. force curve. For MOE and $EI$ calculation, a force was applied at a speed of 0.25 mm/s, inducing a displacement of 2.5 mm. Modulus of elasticity is an index of the capacity of a material to resist bending assuming that the stem is made up of a uniform material. Modulus of elasticity was calculated with Equation (3):

$$MOE = \frac{EI}{T}$$  \hspace{1cm} (3)

MOR, also known as flexural strength, represents the highest stress experienced by the stem at its moment of rupture. In MOR tests, load displacement was conducted until reaching maximal force ($F_{max}$, the maximum load at the moment of breakage or the limit of the elastic phase in absence of breakage). $F_{max}$ was calculated with software IX Instron System (Instron Corporation, Norwood, MA, USA). $F_{max}$ was used to calculate MOR using Equation (4):

$$MOR = \frac{(F_{max} \times L \times r)}{4l}$$  \hspace{1cm} (4)

where $L$ is the length between the supports, $r$ is the radius and $I_m$ is the second moment of area (Gere and Timoshenko 1999; Méndez-Alonzo et al. 2012).

**Stem density and SWC**

We collected stem samples 2.5- to 3-cm long from central sections of the segments tested mechanically. Stem volume was calculated using the water displacement method. We oven-dried stem samples at 70 °C for a minimum of 72 h until mass was constant. Stem specific density (SSD) was calculated as dry mass/fresh volume. Stem water content was calculated following Poorter et al. (2010) as 100 (1 - (dry mass/fresh mass)). To test the hypothesis that branches with greater LA have stems with lower tissue density, we used an approach similar to that of Wright et al. (2006) by measuring SSD of the apical-most branch sections, taking exclusively stem segments collected <350 mm from the branch tip. Apical stem density should reflect the conditions prevailing during the production of the standing crop of leaves, and therefore should correlate well with leaf characteristics.

**Data analysis**

All analyses were conducted in R v.3.1.2 (http://www.r-project.org). Functional and structural variables were log-transformed to meet assumptions of normality and homoscedasticity. Two datasets were assembled. The first, hereafter referred to as the ‘branches’ dataset, contained arithmetically averaged values of all of the traits measured (Table 1) for each of the sampled branches. The second dataset, hereafter referred to as the ‘biomechanics’ dataset, contained values for the stem mechanical traits along with SSD, SWC and CO values of 100 measured segments. The ‘biomechanics’ dataset was used to analyze the variation in mechanical properties on a wider range of stems diameters, allowing us to test predictions regarding mechanical variation during development.

Given that we observed architectural differences under different CO, especially in terminal sympodial modules, we explored whether there were changes in scaling between stem dimensions and total LA between light environments. We divided our dataset into two different light environment sites taking 15 % CO as a threshold, using a ‘sun/shade’ site categorical variable. This CO threshold was chosen because all of the branches collected in the understory (shade site) had values of <15 % CO while branches sampled in forest clearings along the road (sun site) had values of >15 % CO. Allometric scaling between stem size (diameter and length) and total LA under different CO values was
estimated in log-log bivariate relationships using standardized major axis (SMA) regressions using the R package ‘smatr’ (Warton et al. 2012). We built a model predicting total LA based on stem diameter, site, and a stem diameter–site interaction term. A second model predicted total LA based on SL, site, and a SL–site interaction term. Using these models we estimated the relationship between stem size and total LA across CO sites, and we compared scaling slopes of sun branches with those of shade branches via likelihood ratio statistics for common slopes. After finding that there were no slope differences between sites, we fit models without the site interaction term. We then used Wald statistics for equal intercepts included in the ‘smatr’ package to compare intercepts between sites. Similar slopes but different intercepts indicate that stem size differs significantly between sites but foliage-stem scaling is similar. Standardized major axis regression was also used to assess the relation between apical SSD and LA. Standardized major axis is designed to describe relationships between variables in which the causality of one on the other is likely mutual rather than unidirectional one variable on the other making it appropriate for the present situation (Smith 2009).

We explored relationships between traits using pairwise Pearson correlations on the ‘branches’ and ‘biomechanics’ datasets. Separately, the effect of CO on the modulation of leaf traits was analyzed with ordinary least squares linear regressions (OLS) on the ‘branches’ dataset. To document changes in stem material mechanical properties with ontogeny, we measured the effect of stem diameter on MOE and MOR using OLS regressions on the ‘biomechanics’ dataset. To explore a possible joint effect of stem diameter, SSD and CO on stem mechanical properties, we performed multiple regression analyses on the ‘biomechanics’ dataset. The strength of the contribution of each stem trait and of CO was evaluated using semipartial correlations.

Results

Architectural analysis

Stage 1–small seedlings. Amborella seedlings have a tap root and a single orthotropic stem with alternate spiral phyllotaxy (Fig. 1A). After about 12 weeks, as observed in the greenhouse, seedlings have a stem 5-cm tall and a large root system (Fig. 1A).

Stage 2–young saplings. As the first orthotropic axis elongates, it becomes plagiotropic (i.e. becomes a ‘mixed’ axis, with both orthotropic and plagiotropic sections) becoming pendulous under its own weight (Fig. 1B). Phyllotaxy is alternate, oriented spirally in the proximal orthotropic section. Leaf orientation is bilaterally symmetrical in the distal plagiotropic section. A lateral mixed axis makes up a second architectural module. Branching is sympodial. In most of the individuals we observed a single branch developed after apical death of the parent axis (Fig. 1B and C). Apical death occurs mainly after the bending of axes (Fig. 1B). Branching is predominantly mesotonic and on the upper surface of the bending zone (Fig. 1B). The basal diameter of the lateral branch becomes equivalent to that of the section of the parent axis preceding the branching (Fig. 2A). As the successive module develops, the distal part of the parent axis withers and decays (Fig. 1B and C). Relay branches sprout on the second module originating from dormant mesotonic buds (Fig. 1B and C). In some individuals, the first module comes to lie on the ground. The lying stem can develop adventitious roots and resprout and then becoming a ‘pseudo-rhizome’ from which several stems develop (Fig. 1C).

Stage 3–early maturity. Larger individuals grow continuously with a sympodial branching pattern (Fig. 1D). Only some nodes produce lateral branches, and there is no obvious regular distribution of branches in tiers (Fig. 1D). Branch production seems to be associated with local environmental conditions, given that we observed increases in lateral branch production in modules growing under light patches and after trauma such as falling branches. All axes are morphologically similar and we did not observe a hierarchical architectural construction with distinctive axis categories (Fig. 1D), unlike as in conifers, which have distinct central and lateral stems. Axes derived from the relay stem originating from the second module establish a successive sympodial module (Fig. 1D). At this stage, acrotome sympodial branching can occur in peripheral branches after apical abscission of the parent axis (Figs. 1D and 2B). The axillary bud of the terminal leaf activates, producing a new axis that maintains the same growth direction (Fig. 2B).

Stage 4–maturity. Individuals at this stage are built by the sequence of over four sympodial modules (Fig. 1E and F). Modules are formed by a combination of branch-bearing stems and leaf-bearing lateral branches. This sequence of modules is reiterated by mesotonic and basitonic relays originating from dormant buds. As a consequence of the accumulation of iterated complexes of sympodial modules, the plant has a multi-stemmed shrub form and a leader stem is not distinguishable (Fig. 1E and F). Flowering is lateral, occurring on both stems and lateral branches. No architectural differences were observed between male and female individuals. Adventitious roots were frequently observed above the ground at the stem base (Fig. 1E).

Morphological differences across canopy opennesses.

Given a lack of recruitment in sun-exposed sites, we
observed stages 1 to 3 only in shaded understory conditions. We observed qualitative morphological variation in modules under different canopy opennesses in large (stage 4) individuals. Modules of plants growing in more shaded environments were made up of very elongate and sparsely branched axes (Fig. 1E), whereas modules in open canopy environments had more lateral branches (Fig. 1F). Under closed canopy, several relays occurred mostly at mesotonic positions, whereas under open canopy relays were less frequent and were usually basitonic. Under open canopy conditions, axillary supernumerary meristems activate (Fig. 2C). Supernumerary axillary buds produce additional short branches (Fig. 2D). The lifetime of these short axes seems to be very short, given that we observed a frequent abscission of small branches in terminal modules of individuals growing under open canopies (Fig. 1F).

**Foliage–stem scaling in Amborella**

Both stem diameter and length were significantly related to total LA (Fig. 3). Stem diameter, equivalent to the diameter of the basal-most and thickest internode of each branch, predicted 62% of the variation in total LA across light environments (Fig. 3A). Stem length was also strongly related to total LA, explaining 81% of its variation (Fig. 3B). When assessing differences in the scaling of stem diameter and total LA among sites, the model indicated that both types of sites have similar SMA slopes (likelihood ratio (1) = 0.16; $P = 0.68$). Standardized major axis slopes ranged from 1.58 (95% confidence intervals (CIs) 1.10–2.27) for shade branches to 1.82 (95% CIs 0.97–3.41) for sun branches. Assuming homogeneity of slopes, branches from shade exposed sites had an intercept of 3.08 (95% CIs 2.96–3.21), which was not quite significantly higher (Wald's test (1) = 5.51; $P = 0.01$) than the intercept of sun-exposed branches (2.85; 95% CIs 2.39–3.32). Biologically, this result indicates that similar allometric scaling relationships are maintained regardless of the light environment, with sun branches having slightly thinner stems. With regard to SL, SMA slopes of sun branches (1.39; 95% CIs 0.92–2.10) and shade branches (1.17; 95% CIs 0.83–1.66) were not significantly different (likelihood ratio (1) = 0.47; $P = 0.48$). Intercepts were also similar (Wald's test (1) = 1.77; $P = 0.18$) between sun branches (0.16; 95% CIs –0.74 to 0.92) and shade branches (0.66; 95% CIs –0.12 to 1.46). In addition to the LA and stem size scaling, total leaf number was also significantly correlated with SL and diameter (Table 2).

**Figure 2.** Illustration of morphological features of *Amborella trichopoda*. (A) Young individual growing in the understory showing sympodiality by stacking of modules. Note the changes in diameter of the parent axes (A1, A2) after the branching. (B) Linear sympodial structure after apical death (arrow). A second axis produced by the lateral bud of the more distal leaf continues axis construction keeping the same growth direction. (C) A supernumerary bud is located below an axillary bud showing onset of growth. (D) Under high-light conditions, both axillary buds can activate, producing small axes. Abbreviations: A1, A2, A3, axis orders; b1, axillary bud; b2, supernumerary bud; L, axillary leaf; P, parent axis.

**Figure 1.** Illustration of ontogenetic architectural stages of *Amborella trichopoda*, and architectural variability under closed or open canopies. (A) Seeding and unbranched young plant 6 months after germination (stage 1). (B) 1-year-old plant (stage 2). (C) 1.5-year-old plant with a rooted ‘pseudo-rhizome’ (stage 2). (D) Around 6-year-old plant (stage 3). (E) >10-year-old plant growing under a closed canopy (stage 4). (F) >10-year-old plant growing under open canopy (stage 4). Only one sequence of the successive modules of stage 4 individuals is represented. Abbreviations: adv, adventitious root; co, collar zone. Thick lines represent structural axes, thin lines represent lateral branches, arrowhead lines represent delayed relays, crosses are dead apices, circles are inflorescences, and gray shadings indicate successive architectural modules.
Most stem and leaf traits were significantly correlated (Table 2). Leaf dry matter content and LMA had the strongest relationships with stem traits such as SWC and SSD. Leaf area was strongly correlated with the remaining leaf traits, as well as with most of the stem traits measured (Table 2). Stem size traits such as SL, IL and ID were very strongly correlated with leaf traits and stem mechanical traits, but were not correlated with SSD and SWC. Stem specific density was positively correlated with both MOE and MOR. Stem water content and stem mechanical properties were negatively correlated, indicating that stems with higher water contents had tissues that were both more flexible and less resistant to breakage. Branch averaged values of SSD and LA were not significantly correlated (Table 2). However, average LA was negatively correlated with SSD of branch apical segments (Pearson $r$ ($22) = -0.52$, $P = 0.008$), and scaling between both traits was detected (Fig. 4), indicating that broad leaved
branches of Amborella have stem tissues of lower density. Canopy openness, which reflects light availability, was significantly correlated with leaf and stem size traits (Table 2). Stem water content was negatively associated with CO (Table 2), suggesting lower water contents in sun-exposed branches. Canopy openness had a very important effect on leaf trait variation (Fig. 5). Leaf area was negatively related to CO (Fig. 5A), whereas CO was strongly positively related to both LMA (Fig. 5B) and LDMC (Fig. 5C). Sun-exposed leaves were smaller than shade leaves, but had higher mass per unit of area and higher dry matter content than leaves under closed canopy.

**Stem mechanics**

Mechanical parameters (MOE and MOR) were significantly predicted by stem diameter (Fig. 6). Modulus of elasticity increased with stem diameter (Fig. 6A) from 500 to 2000 N mm⁻² in stems of 1.98–2.5 mm to 7000–9000 N mm⁻² in stems with diameters of >10 mm. Because higher values of MOE reflect higher material stiffness, this result indicates that tissues in thicker basal stems are stiffer than those in narrow apical ones. As regards MOR, narrower stems had lower resistance to rupture whereas wider stems were more resistant to rupture (Fig. 6B). Flexural rigidity (EI) of Amborella stems was strongly correlated with diameter ($r^2$ (98) = 0.97; $P < 0.001$), indicating that higher loads were needed to produce deflection in stems of largest diameters.

Multiple regression analysis on the biomechanics dataset including the effect of stem diameter, SSD and CO (Table 3) on stem mechanics showed a significant variation of stem mechanical parameters with CO. However, when explaining MOR, the coefficient associated with CO was not significant (Table 3). As for MOE and EI, the negative coefficient associated with CO was
significant suggesting a decrease of stem tissue rigidity under open canopy. Despite the significance of these coefficients, CO was the variable that contributed least to the models, as shown by the lower semipartial correlation values when compared to those of the other parameters (Table 3). Modulus of elasticity and \( EI \) increase with both stem diameter and SSD, with shade axes tending to be stiffer. With regard to MOR, SSD had the highest semipartial correlation, explaining more of the total variation in MOR than stem diameter (Table 3). The lower association of MOR with stem diameter, as compared to the association between MOE and diameter, was readily observed in the scatter plots (Fig. 6). Stem specific density also had a significant effect on MOE, but it had a lower semipartial correlation than stem diameter (Table 3). Suggesting that SSD plays a major role in stem resistance to rupture and a lesser but still important effect on stem flexibility.

**Discussion**

*A. trichopoda*, the sister species to all other flowering plants, should not be regarded as an archetype of the angiosperm ancestor simply because of its phylogenetic position (Crisp and Cook 2005). However, our observations of the structural and functional variation of Amborella can provide insights into the patterns of distribution of characters along major clades. Amborella varies predictably in functional and structural traits with light environment. Within this variation, leaf and stem economics are coordinated. For example, though the axes of Amborella varied in LMA and LDMC under variation in canopy openness, they maintained similar foliage-stem scaling. The confirmation of these patterns of covariation in Amborella, together with their wide distribution across both the angiosperms and conifers, suggests that these coordinated plastic responses were likely part of the basic developmental toolkit in the ancestral angiosperm. Here, we discuss some of the patterns of trait coordination that are widespread in plants in the context of the Amborella growth form, and what these characteristics might indicate regarding angiosperm synapomorphies or symplesiomorphies.

**Corner’s rules and Amborella growth form plasticity**

Plants vary from species with thick twigs bearing large leaves to species with narrow twigs bearing small leaves (Westoby and Wright 2003). Here, we show that this spectrum can be observed at the intraspecific level in Amborella, which exhibit similar foliage-stem scaling across light environments (Fig. 3). It has been proposed that foliage-stem scaling is a consequence of the mechanical and hydraulic requirements of leaves, as well as self-shading avoidance through leaf spacing (Enquist 2002; Westoby et al. 2002). Moreover, if similar crown areas fix similar amounts of carbon, then carbon limitation requires such a foliage-stem scaling if leaf spacing is

<table>
<thead>
<tr>
<th>Response</th>
<th>( r^2 )</th>
<th>( F_{3,96} )</th>
<th>( b_D )</th>
<th>( b_{SSD} )</th>
<th>( b_{CO} )</th>
<th>PD</th>
<th>PSSD</th>
<th>PCO</th>
</tr>
</thead>
<tbody>
<tr>
<td>MOE</td>
<td>0.55</td>
<td>39.56***</td>
<td>0.47***</td>
<td>1.00***</td>
<td>-0.18***</td>
<td>0.47</td>
<td>0.38</td>
<td>0.22</td>
</tr>
<tr>
<td>MOR</td>
<td>0.41</td>
<td>22.51***</td>
<td>0.12*</td>
<td>1.03***</td>
<td>-0.08ns</td>
<td>0.18</td>
<td>0.54</td>
<td>0.13</td>
</tr>
<tr>
<td>EI</td>
<td>0.98</td>
<td>1717***</td>
<td>4.46***</td>
<td>1.00***</td>
<td>-0.18***</td>
<td>0.91</td>
<td>0.08</td>
<td>0.04</td>
</tr>
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</table>

\( r^2 \) = adjusted coefficient of multiple determination. \( b_D \) = coefficient associated with stem diameter; \( b_{SSD} \) = coefficient associated with stem specific density; \( b_{CO} \) = coefficient associated with canopy openness. PD, PSSD, and PCO are semipartial correlations indicating the contribution of each predictor (\( n = 100 \)). ns = non significant; * \( P < 0.05 \); ** \( P < 0.01 \); *** \( P < 0.001 \).
greater in larger-leaved species (Olson et al., 2009). In addition to foliage-stem scaling in Amborella, we observed an association of low SSD with stem elongation, high LA, and leaf spacing. Across light environments Amborella has narrow stems of high density bearing small leaves with high mass per area or thick branches of low densities bearing wide leaves with low mass per unit area. Our results thus converge with the metabolic mechanism proposed by Olson et al. (2009), suggesting that if leaves and stems maintain a metabolically driven proportionality, large-leaved axes with greater leaf spacing require low density tissues and thicker stems, as a response of rapid volumetric extension and stem tissue mechanics given carbon limitation.

A second component of Corner’s rules implies that species with larger leaves and twigs also tend to have less frequent branching with wider branching angles, whereas species with smaller leaves and twigs have more frequent branching with narrower branching angles (Corner 1949; Ackerly and Donoghue 1998; Westoby and Wright 2003). Our architectural analysis shows that the crowns of Amborella individuals have conspicuous morphological differences depending on light environment. Crowns of individuals growing under closed canopy have sparser branching and few, long lateral branches. Crowns of individuals growing under open canopy show an increase in branching frequency. The greater branching of Amborella individuals growing under high-light conditions is promoted by the activation of supernumerary buds leading to a short, densely leaved, and narrow crown. Similar crown morphological responses to light availability have been observed across shade-tolerant angiosperm species (Cornelissen 1993; Niinemets 1996; Kawamura and Takeda 2002). The observed variation in the architecture of Amborella individuals under different canopy opennesses suggests that intraspecific architectural plasticity follows Corner’s Rules.

**Phenotypic plasticity of Amborella leaf and stem traits in response to canopy openness**

Numerous studies spanning a wide diversity of plant lineages have highlighted that leaf characteristics can be strongly influenced by local light environment. Here, we extend this documentation to Amborella, whose leaves vary markedly in size and mass allocation under different levels of canopy openness (Fig. 5). This variation in leaf traits in different light environments is very likely adaptive. For instance, Amborella leaves in shade conditions have greater area for a given unit of biomass, likely increasing the surface available for light interception (Poorter et al. 2009). Lower LMA in understory Amborella leaves reflects a reduction in the cost of leaf construction. It has been shown that lower construction investment is favoured in environments with low photosynthetically active radiation (Poorter et al. 2006). In addition to light availability, canopy openness is also positively related to air temperature, and negatively related to relative humidity (Pineda-García et al. 2013). Smaller leaves have a smaller boundary layer, which allows for more rapid convective heat loss (Parkhurst and Loucks 1972). As a consequence, smaller leaves might lower transpiration and water loss. Lower LA, along with the increases in LDMC and LMA that we observe in Amborella, can be therefore considered as adaptive responses to potential overheating and desiccation under open canopy conditions (Ninemets et al., 1999).

Several mechanisms could underlie the variation we observed in the absolute values of LMA in response to light availability (Fig. 5B). It has been proposed that leaf tissue density is strongly correlated with LMA in woody plants (Castro-Díez et al. 2000; Villar et al. 2013), and leaf tissue density seems to predict LMA better than leaf thickness (Villar et al., 2013). A previous study suggested that Amborella leaf epidermal and hypodermal thickness do not change in response to varying light levels (Feild et al. 2001). Feild et al. (2001) also reported limited adjustments in total leaf thickness, with sun leaves being ca. 10% thicker than shade leaves. Our results, however, show a very strong effect of light availability on LA and mass investment (LMA and LDMC) (Fig. 5B and C). The variability we observe in LMA and LDMC suggests that if Amborella leaf thickness is not highly variable, as suggested by Feild et al. (2001), light incidence may induce significant shifts in tissue density. Further studies would be needed to detect potential structural changes at the cellular level in both the epidermis and the mesophyll of leaves. This could provide information on the leaf constituents that drive Amborella LMA variation under different light environments.

The plastic responses of leaf size and leaf mass allocation to light variability observed in Amborella are consistent with numerous reports for angiosperm species in both humid tropical forests and temperate forests, as well as in crops and domesticated plants (Buisson and Lee 1993; Miyaji et al. 1997; Poorter et al. 2006; Lusk et al. 2008; Matos et al. 2009). Similar leaf responses span both eudicots and monocots (Buisson and Lee 1993; Laurans et al. 2012; Yang et al. 2014). Analogous variation in leaf traits has also been described at the intraspecific level in Arabidopsis thaliana (Pigliucci and Kolodnyńska 2002; Poorter et al. 2009). Further, similar LMA increases in response to light have been recorded across gymnosperm species (Abrams and Kubiske 1990; Bond et al. 1999) and also within individuals (Koch et al.)
Our documentation of leaf trait variability as a consequence of habitat openings in Amborella highlights the adaptive importance of this phenotypic response, which seems to operate in similar ways across the major lineages of vascular plants.

Along with the previously exposed effects of light availability on internode elongation, crown architecture and leaf traits, Amborella also provided evidence for shade-induced increases in stem MOE and EI (Table 3). This response is consistent with previous analyses describing similar increases in stem stiffness under shaded conditions (Gallenmüller et al. 2004; Anten et al. 2005; Watari et al. 2014; Huber et al. 2014). Given that bending moment increases with stature, increases in MOE and EI might make shade axes less prone to bending or breakage (Niklas 1992). Maintaining a structural integrity to retain leaves in certain positions might be particularly important under shaded conditions, in which fewer positions provide optimal light interception (Liu et al. 2007). Under open canopy conditions, Amborella produces stems with greater flexibility (lower MOE) and lower flexural rigidity. Wind speed and drag forces are greater in open canopy habitats (Speck 2003). Therefore, the construction of flexible axes can lead to an increased mechanical resilience, and even large displacements would not affect light interception (Puñalón et al. 2011). Wind-induced mechanical stimuli might also explain the production of shorter axes under open canopy. The reduction in shoot elongation is one of the most consistent thigmomorphogenetic effects of mechanical stimulus on plant growth (Badel et al. 2015). The coupled reduction of length and stiffness in Amborella stems growing under open canopy seem likely functionally beneficial because these characteristics might enable reduced drag forces and easier reconfiguration in wind flow.

Trait coordination and tradeoffs, different strategies within a single species

The study of key functional traits and their variation across species can be very informative regarding plant ecological strategies (Westoby and Wright 2006). For instance, the median LMA in Amborella (74.5 g m⁻², n = 409) is very close to the 73 g m⁻² median reported for tropical rainforest species in general (Poorter et al., 2004). The LMA observed here is thus congruent with the habitat preferences of Amborella, whose distribution is restricted to rainforest habitats (Poncet et al. 2013; Pouteau et al. 2015). It is now broadly accepted that plant functional traits need to be studied in a 'network' perspective, with multiple traits correlation and tradeoffs assemblages shaping the ecological strategies of species (Poorter et al. 2014). Our results show that coordination of leaf and stem economic traits is present at the intra-specific level in Amborella. Hence, Amborella traits can shift in a coordinated way as a response to local light environments.

Amborella stems growing under open canopies seem to adopt a resource conservation strategy, as suggested by their higher values of LMA and LDMC. The characteristics of leaves in open canopy environments seem to be coordinated with stem traits that reflect similar conservation strategies. Indeed, mass allocation to leaves and stems seems to be coordinated in Amborella, as shown by the positive correlations between LDMC/LMA and SSD. A similar positive correlation between LDMC and SSD has been observed across angiosperm species (Méndez-Alonzo et al. 2012), suggesting coordinated evolution between these leaf and stem traits. A coordinated increase in mass allocation to stems and leaves may confer a survival advantage in open habitats by reducing the probability of physical hazards (Zimmerman et al. 1994; Wright et al. 2004; Poorter et al. 2009). At the other extreme, closed canopy Amborella stems had lower values of leaf and stem mass allocation, which were coupled with higher internode extension. Fast stem extension at internodes can minimize self-shading in large-leaved branches (White 1983). The fast growth of the large-leaved shade axes in Amborella is reflected by their longer internodes and their lower values of SSD. It has been shown that wood density, which greatly contributes to SSD, is negatively related with photosynthetic capacity (Santiago et al. 2004). Accordingly, efficient acquisition of photosynthates likely allows shade branches to have accelerated volumetric expansion, lowering stem tissue densities.

In addition to positively related traits, we also observed negatively related traits that could indicate tradeoffs. Our study suggests inter-organ tradeoffs in Amborella such as the negative relationship between SSD of apical branch sections and LA. Apical SSD explained 27 % of the variation in leaf size in Amborella (Fig. 4), which is similar to the relationships presented by Wright et al. (2007) when relating LA and wood density of simple-leaved species. The apical SSD-LA tradeoff in Amborella is consistent with similar findings of negative relations of leaf size with both wood density and branch mechanical stiffness across species of different habitats (Pickup et al. 2005; Wright et al. 2006; Wright et al. 2007; Swenson and Enquist 2008; Olson et al. 2009). To our knowledge, this is the first evidence of this tradeoff among individuals within a species. Wright et al. (2006; 2007) explained this tradeoff via plant hydraulics, suggesting that stems with low wood density enable higher hydraulic conductivity per sapwood area (Kₜ), allowing higher leaf surface. However, a previous study (Feild et al. 2004). Our documentation of leaf trait variability as a consequence of habitat openings in Amborella highlights the adaptive importance of this phenotypic response, which seems to operate in similar ways across the major lineages of vascular plants.
et al. 2001) has shown that $K_s$ was not different between sun-exposed and understory branches of Amborella, which, as we have shown here, tend to have significant variation in LA. Therefore, the accelerated growth of wide-leaved closed canopy axes, which can be regarded as a common shade avoidance syndrome (Schmitt et al. 2003), might underlie the apical SSD-LA tradeoff observed in Amborella.

Another tradeoff is likely indicated by the strong negative relationship between SWC and SSD. This pattern may emerge as a compromise between mechanical strength and water storage (Santiago et al. 2004). Our data were consistent with such a tradeoff in Amborella, with the observed negative relationship between both stem mechanical traits (MOR and MOE) and SWC. Respectively, gains in mechanical strength were observed as SSD increases. These results are congruent with studies showing that lower density is associated with lower capacity to resist bending and breakage, but higher xylem water conductivity and storage (Pratt et al. 2007; Onoda et al. 2010; Méndez-Alonzo et al. 2012). Rosell et al. (2012) proposed a possible mechanism for this tradeoff, suggesting that higher levels of stem water storage would be associated with greater allocation to the cell lumen and less to the cell walls that are largely responsible for stem material mechanical stiffness.

**Amborella architecture and mechanical properties in the context of angiosperm growth form evolution**

Flowering plants have evolved an unparalleled diversity of growth forms and architectures. The architecture of a plant is defined by the nature and arrangement of each of its parts (Barthélemy and Caraglio 2007). Because most of the axes of Amborella are initially orthotropic, bending secondarily by gravity, its architecture corresponds to Champagnat’s architectural model (Hallé et al. 1978). However, the observed changes in leaf orientation, from radial to bilateral symmetry according to their position on the axis, are features of Mangenot’s architectural model (Hallé et al. 1978). Amborella may thus represent an intermediate form suggesting a continuum between these two architectural models. Both of the previously cited models are often characterized by the lack of a main trunk and construction based on the superposition of modules. Such repeated growth from lateral meristems is known as sympodiality, which has been suggested as a synapomorphy for the angiosperms (Carlquist 2009).

Sympodiality, which is widespread among basal angiosperms, has also been suggested as a retained character in Ranunculales, the eudicot order sister to the rest of the eudicots (APG, 2016). Because of this phylogenetic distribution and because of the general absence of sympodial growth in gymnosperms, it seems possible that the angiosperm ancestor was sympodial. Carlquist (2009) suggested that sympodiality may have provided angiosperms with numerous competitive advantages, such as rapid spreading over wider lateral areas, securing footholds and tapping new soil resources by the rooting of branches, and escaping hydraulic and mechanical failures by the production of numerous branches that can potentially root. Additionally, the production of branches from dormant buds confers on Amborella the ability to resprout through basitonic and mesotonic relays (Fig. 1E and F). Resprouters seem to have an increased ability to persist after disturbance events (Bond and Midgley 2001). As such, sprouting ability has been suggested to be a key feature of plant strategies (Bond and Midgley 2001). Collar sprouting, as seen in the basitonic relays observed in Amborella (Fig. 1F), is generally rare in conifers (Del Tredici 2001). The sprouting ability and sympodial construction observed in Amborella are morphological characteristics observed in other cane-like representatives of basal angiosperm groups (Isnard et al., 2012). This suggests that sympodiality, along with sprouting and rooting ability, which can be considered as competitive morphological attributes, were acquired early during the evolution of the flowering plants.

The cane-like form of Amborella and other basal angiosperms is often associated with the presence of scandent stems (Feild and Arens 2007). The laxity of these scandent stems should be reflected by the relationship between stem size and stem mechanical properties. The vesselless stems of Amborella show the mechanical organization of a self-supporting plant with stem material stiffness increasing with stem diameter (Fig. 6A; Table 3). A previous study analyzing the stem mechanics of cane-like basal angiosperms highlighted a similar mechanical organization in shrubs within Aristolochia, Thottea, and Piperaceae (Isnard et al. 2012). This suggests that mechanical reinforcement does not compensate for increasing plant stature in cane-like species. It has been suggested that variations in stem geometry are sufficient to generate functional and morphological diversity even in the absence of shifts in stem material properties (Gartner 1991; Rosell et al. 2012). Therefore, the scandent form of Amborella and other cane-like basal angiosperms likely evolves readily as the result of increases in SL without an offset in stem diameter.

**Conclusions**

Our architectural description shows that Amborella growth involves the stacking of sympodial modules. The
axes making up these sympodial modules have a pattern of increasing mechanical stiffness with increasing diameter, corresponding to the mechanical profile of a self-supporting plant. The sympodial growth observed in Amborella, which is associated with numerous competitive advantages, might be a synapomorphy for the angiosperms. Canopy openness triggered changes in whole-plant architecture, varying from a long-branched shrub with pendulous axes under closed canopy versus a short- and densely branched self-supporting shrub under open canopy. Further, canopy openness significantly influenced leaf size and leaf mass investment. However, our analyses show that across light environments, LA and stem size predict one another, following Corner’s Rules, with stem tissue density negatively correlated with LA. The documentation of this coordination of traits in the sister to all other flowering plants, reaffirms the pervasive nature of the trait constellations. Trait coordination along the leaf and stem economic spectra likely provides Amborella with adaptive functional strategies under variation in canopy openness. The phylogenetic distribution of these responses suggests that similar plastic responses to light availability were plausibly present in the common ancestor of all living angiosperms.

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Contributions by the Authors
S.T., S.I. and M.E.O. designed the study and conceived the manuscript; S.T. collected samples and data, conducted statistical analyses, and wrote the first draft of the manuscript; S.I., D.B. and M.E.O. contributed to the interpretation of data and participated in the writing of the manuscript.

Conflict of Interest Statement
None declared.

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Literature cited


